Helium Investments of Canada
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Head Office

Relationship Disclosure Agreement

Helium Investments provides client with discretionary management account services pursuant to the terms of this agreement. Such discretionary management account services will involve the investment in various securities including model portfolios which Helium Investments acts as the manager and advisor. Helium Investments makes investment decisions on behalf of the client based on their investment objectives defined in the investment profile created during signup.

The costs associated with the services provided to the client and the fees received by Helium Investments are set forth in in the Investment Policy Statement. Helium Investments will provide monthly account statements to clients which will show the securities held, monthly gain or loss in dollars and the fees incurred during dates covered by the statement.

Tax summary information will be provided no later than March 31st, including fees paid, capital gains and losses. Tax slips will be provided by individual custodians in the form of CRA T3 and CRA T5 slips.

Independent dispute resolution is available through the OBSI to resolve any dispute that might arise between Helium Investments and a Client. Each member incurs their own costs related to dispute resolution.

Helium Investments has an obligation to assess whether a security is suitable for client(s) and vice versa prior to executing a market order or at any other time.